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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
on March 10, 2004

Date of Deposit

Amir N. Penn (40,767)

Name of applicant, assignee or
Registered Representative

Amir N. Penn

Signature

March 10, 2004

Date of Signature

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MAR 18 2004
TECHNOLOGY CENTER R3700

Our Case No. 7103/205 (P0707)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Li et al.

Serial No. 09/938,056

Filing Date: August 23, 2001.

For MULTI-STEP POLISHING SYSTEM
AND PROCESS OF USING SAME

)
)
)
) Examiner: George Nguyen
)
) Group Art Unit No.: 3723
)
)
)

RESPONSE TO OFFICE ACTION DATED DECEMBER 18, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants file the enclosed response to the Office Action dated December 18, 2003 as
follows:

Listing of the Claims begins on page 2 of this paper.

Remarks begin on page 5 of this paper.

3723



| TRANSMITTAL LETTER | | | Case No. 7103-205 (P0707) |
|---|--------------------------------|---------------------------|---------------------------|
| Serial No. 09/938,056 | Filing Date August 23, 2001 | Examiner George Nguyen | Group Art Unit 3723 |
| Inventor(s) Li, et al. | | | |
| Title of Invention MULTI-STEP POLISHING SYSTEM AND PROCESS OF USING SAME | | | |

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith are: Transmittal Letter (in duplicate); Response to Office Action dated 12/18/03; and Return Postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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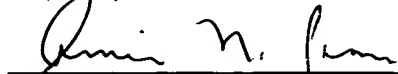
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra |
|---|----------------------------------|-------|---------------------------------|---------------|
| Total | 11 | Minus | 20 | 0 |
| Indep. | 3 | Minus | 5 | 0 |
| First Presentation of Multiple Dep. Claim | | | | |

| Small Entity | |
|-----------------|-----------|
| Rate | Add'l Fee |
| x \$9= | |
| x 43= | |
| + \$145= | |
| Total add'l fee | \$ |

| Other Than Small Entity | |
|-------------------------|-----------|
| Rate | Add'l Fee |
| x \$18= | |
| x \$86= | |
| + \$290= | |
| Total add'l fee | \$ |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Amir N. Penn
Registration No. 40,767
Attorney for Applicant
Customer No. 00757 - Brinks, Hofer, Gilson & Lione

BRINKS HOFER GILSON & LIONE
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(312) 321-4200

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Date: March 10, 2004 Signature: 